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Practice of endoscopy during COVID-19 pandemic: position statements of the Asian Pacific Society for Digestive Endoscopy (APSDE-COVID statements)

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ABSTRACT

Coronavirus-19 (COVID-19) caused by SARS-CoV-2 has become a global pandemic. Risk of transmission may occur during endoscopy and the goal is to prevent infection among healthcare professionals while providing essential services to patients. Asia was the first continent to have a COVID-19 outbreak, and this position statement of the Asian Pacific Society for Digestive Endoscopy shares our successful experience in maintaining safe and high-quality endoscopy practice at a time when resources are limited. Sixteen experts from key societies of digestive endoscopy in Asia were invited to develop position statements, including patient triage and risk assessment before endoscopy, resource prioritisation and allocation, regular monitoring of personal protective equipment, infection control measures, protective device training and implementation of a strategy for stepwise resumption of endoscopy services after control of the COVID-19 outbreak.

INTRODUCTION

In the past 3 months, a new coronavirus of SARS-CoV-2, leading to coronavirus disease 2019 (COVID-19), has been rapidly disseminated throughout Asia.¹ The World Health Organization (WHO) declared COVID-19 as pandemic on 11 March 2020, and by 24 March 2020 the number of confirmed COVID-19 cases had increased to more than 372 000 globally.² A cohort study on 1099 patients with COVID-19 from Wuhan showed that typical clinical symptoms included cough and sputum, sore throat, fever, fatigue, and shortness of breath.³ More than 40% of patients had contact history and 56.2% had no raised temperature. Gastrointestinal symptoms are not uncommon and 5% of patients had nausea or vomiting and 3.8–10.1% had diarrhoea.⁴ The overall mortality was 1.4%, and for those with severe disease the mortality was 22.4%.^{3 5 6}

Although there are no data on whether endoscopy is an aerosol-generating procedure, positive insufflation during endoscopic procedures could pose a risk of generating aerosol and increase the risk of SARS-CoV-2 transmission. Healthcare providers of endoscopy therefore are facing tremendous risk

during the pandemic of COVID-19 as the spread is mainly through direct contact or aerosol droplets, and endoscopy procedures require a short physical distance between patients and personnel. A study performed during the SARS outbreak in 2003 showed that droplets from infected patients could reach people located two metres or more from the source.⁷ In addition, experience from the SARS epidemics demonstrated the presence of coronavirus in stool samples and intestinal biopsy samples.⁸ Recently, it has been confirmed that COVID-19 can be found in the oral cavity and faecal samples of infected individuals.^{9–12}

To date, joint new guidance on the practice of endoscopy has been posted by the American College of Gastroenterology and the British Society of Gastroenterology.^{13 14} Contrary to western countries, Asia has already accumulated substantial experience in combating the COVID-19 outbreak; some regions have shown their ability to prevent outbreaks and maintain a high-quality endoscopy service without subjecting patients and staff to undue health hazards.

The Asian Pacific Society for Digestive Endoscopy (APSDE) gathered experts to develop a position paper on the practice of endoscopy during the COVID-19 pandemic. The current position statements represent expert opinion and also our experience in combating this outbreak at a time when the supply of personal protective equipment is limited. Our objective is to provide recommendations for endoscopy centres on management and preventive measures during the COVID-19 pandemic. APSDE emphasises that risk management protocols should be developed according to healthcare priorities and available resources of individual centres. These statements should be considered as a framework of good clinical practice rather than a medicolegal reference.

METHODS

The lead authors (PWYC, SCN and FKLC) were appointed by APSDE to review the literature and to invite experts. and developed the position statement. The members of the writing committee were selected based on the following criteria: (1) international experts of digestive endoscopy in Asia; (2)



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Practice of endoscopy: position statements APSDE COVID-19

1. Strategies to triage and assess risk of patients with suspected or confirmed COVID-19 before endoscopy are essential.
2. Deferment of elective endoscopies should be considered until further notice during the COVID-19 outbreak.
3. Urgent endoscopies should be performed by strategically assigned staff to minimise concomitant exposure.
4. Resource reallocation for staff and medical equipment is recommended to prepare for a surge in healthcare demand.
5. Regular monitoring of supply and use of personal protective equipment (PPE) is necessary to adjust endoscopy service and uphold morale of staff.
6. Healthcare workers should practise standard infection control for endoscopy.
7. Healthcare workers should receive adequate training on gowning and removal of PPE.
8. Extra precaution is recommended during colonoscopies as prolonged faecal shedding of SARS-CoV-2 can occur.
9. Endoscopies should be performed in a negative pressure room when available with strict isolation precautions in suspected or confirmed cases of COVID-19.
10. Disinfection policy for endoscopy rooms and reprocessing of instruments should be enhanced.
11. Stepwise resumption of elective endoscopy services should be guided by control of COVID-19 in the local community, availability of manpower and equipment supply.

practical experience in restructuring or monitoring endoscopy service for the COVID-19 outbreak; (3) experience in hospital administration or crisis management.

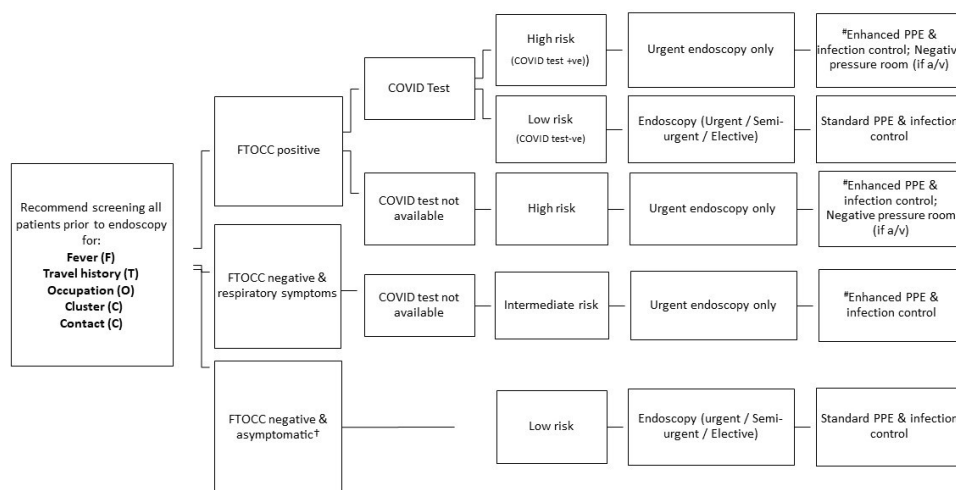
STATEMENTS

Statement 1. Strategies to triage and assess risk of patients with suspected or confirmed COVID-19 before endoscopy are essential

During the COVID-19 pandemic, patients should be screened, triaged and risk stratified before the arrangement of endoscopy

Statement 2. Deferment of elective endoscopies should be considered until further notice during the COVID-19 outbreak

A report from Hong Kong, based on experience with SARS, showed that the risk of infection is 5% for physicians, 4% for nurses and 8% for healthcare assistants.¹⁶ The authors emphasised the importance of practising strict isolation, infection



†Asymptomatic: no symptom of upper or lower respiratory tract infection; * Enhanced PPE: N95 mask, face shield, goggles, isolation gown, disposable gloves; a/v: available

Figure 1 Flow chart to prescreen and triage patients for endoscopy during COVID-19 outbreak. PPE, personal protective equipment.

(figure 1). WHO defined a confirmed case of COVID-19 as a person with laboratory confirmation of COVID-19 infection, irrespective of clinical signs and symptoms.² A probable case is defined as a suspected case for whom testing for COVID-19 is inconclusive.² A suspected case is defined based on the following three criteria: (1) patients with acute respiratory illness with no other aetiology and a history of travel to, or resident in, countries reporting local transmission of COVID-19 during 14 days before symptom onset; (2) patients with acute respiratory illness who have been in contact with a confirmed or probable case of COVID-19 in the past 14 days before symptom onset and (3) patients with severe acute respiratory infection requiring hospitalisation and with no other cause that fully explains the clinical presentation.²

Before arrival at endoscopy centres, all patients need to undergo prescreening and the following information should be collected to the best of the ability of each centre: (1) fever of more than 37.5 C (F); (2) travel history (T); (3) occupational exposure (O); (4) contact history (C); (5) clustering (C) (FTOCC).¹⁵ The travel history should include all recent travels to countries with a high incidence of COVID-19 within the past 14 days. The occupational exposure should include those working as healthcare providers and research laboratory staff handling specimens of COVID-19. The contact history should trace those who have had recent close contact with patients with COVID-19 in the past 14 days and those who had contacts who had recently travelled to high-risk countries. The clustering represents two or more COVID-19 positive patients with a history of close contact. Any of the positive criteria for FTOCC should be regarded as a clinically suspected case, and COVID-19 testing should be conducted before any endoscopic procedure. For all suspected, probable or confirmed cases, the clinical indication for endoscopy should be reviewed, and only those with emergency or life-threatening conditions should receive endoscopy (see statement 3).

Table 1 Classification of endoscopic procedures as urgent, semi-urgent and elective during COVID-19 outbreak

Urgent endoscopy	Semi-urgent endoscopy (to be discussed on a case by case basis)	Elective endoscopy (deferred until further notice)
Acute gastrointestinal Bleeding Management of perforations and leakage Biliary sepsis Foreign body Gastrointestinal obstruction requiring stenting GI access for urgent feeding	Endoscopic treatment for gastrointestinal neoplasia (EMR/ESD) Endoscopy for highly suspicious case of cancer Small bowel enteroscopy for occult GI bleeding ERCP for hepatobiliary pancreatic cancers	All routine diagnostic endoscopy All surveillance and follow-up endoscopy (Barrett's oesophagus/polyp/IBD/gastric intestinal metaplasia/history of GI cancer) Therapeutic endoscopy for non-cancer disease Other ERCP cases—asymptomatic stones; therapy for chronic pancreatitis; metal stent removal/change; ampullectomy follow-up EUS for diagnosis of benign condition ERCP for non-malignant conditions Endoscopic therapy for benign GI disorders (bariatric, GORD)

EMR, endoscopic mucosal resection; ERCP, endoscopic retrograde cholangiopancreatography; ESD, endoscopic submucosal dissection; EUS, endoscopic ultrasonography; GORD, gastro-oesophageal reflux disease; IBD, inflammatory bowel disease.

control measures and adherence to use of personal protective equipment. Most Asian countries adopted a policy of suppression to reduce the epidemic of COVID-19 through case identification, contact tracing and hospitalisation, and had vigorous strategies for population-wide social distancing.¹⁷ According to the prediction model of the Imperial College COVID-19 response team, a suppression policy can significantly reduce mortality and the stress on hospital care capacity.¹⁷ However, it will require sustainability until a vaccine is available.

We recommend deferring all elective endoscopies during the COVID-19 outbreak until the pandemic is over. This strategy helps to preserve the surge capacity for hospitals to manage a massive number of suspected or confirmed cases of COVID-19.^{15 16 18} Moreover, this will safeguard the potential transmission of COVID-19 between patients and to healthcare providers, especially at the beginning of the COVID-19 outbreak.^{16 18} It will also allow time for healthcare providers at the endoscopy centre to receive appropriate education and training on infection control measures. A retrospective case series from Iran reported on four surgical patients who developed severe complications after elective surgery in the first few weeks of COVID-19. All showed either clinical signs or tested positive for COVID-19, and three out of four patients died.¹⁹ The endoscopy centre should keep a record of patients with deferred endoscopy appointments and rearrange their appointments according to

the situation of the COVID-19 outbreak. **Table 1** shows the list of elective procedures that could be deferred until further notice.

For patients who require semi-urgent endoscopic procedures, clinicians should decide case by case on the need and indications, and those indicated should be performed as urgent endoscopic procedures (**table 1**).

Statement 3. Urgent endoscopies should be performed by strategically assigned staff to minimise concomitant exposure

During the COVID-19 outbreak, recommended indications for urgent endoscopy are limited to acute gastrointestinal bleeding, biliary sepsis, gastrointestinal obstruction requiring stenting or dilatation, management of gastrointestinal perforations and leakage, foreign body retrieval and establishment of access for enteral nutrition (**table 1**). Where urgent endoscopies are necessary, we recommend keeping the number of staff to a minimum, with preferably one experienced endoscopist and two nurses for each endoscopy room. Ample time should be allowed for infection control measures before and after endoscopy. The same team of endoscopy staff should serve the same room for the whole session.

Table 2 Type of endoscopy procedures in relation to the use of PPE (standard or enhanced), manpower and frequency of gown down

Procedure	AGP	Standard PPE for non-suspected/test negative cases	Enhanced PPE for high risk/confirmed COVID-19	Endoscopist manpower	Endoscopy nurses manpower	Frequency of gown down
OGD	To be determined	Surgical mask or N95 Blue isolation gown Gloves Standard endoscopy room	N95 Blue isolation gown Gloves Goggles or face shield Negative pressure room	1 (at specialist level)	2	Mask: end of each session Gown: change when contaminated Gloves: after each case
Colonoscopy	To be determined	Surgical mask or N95 Blue isolation gown Gloves Standard endoscopy room	N95 Blue isolation gown Gloves Goggles or face shield Negative pressure room	1 (at specialist level)	2	Mask: end of each session Gown: change when contaminated Gloves: after each case
ERCP	To be determined	Surgical mask or N95 Blue isolation gown Gloves Standard endoscopy room	N95 Blue isolation gown Gloves Goggles or face shield Negative pressure room (with enough space and X-ray shielding)	1 (at specialist level)	2	Mask: end of each session Gown: change when contaminated Gloves: after each case
Bronchoscopy	Yes	N95 Blue isolation gown Gloves Goggles or face shield Negative pressure room	N95 Blue isolation gown Gloves Goggles or face shield Negative pressure room	1 (at specialist level)	2	Mask: end of each session Gown: change when contaminated Gloves: after each case

AGP, aerosol generating procedure; ERCP, endoscopic retrograde cholangiopancreatography; OGD, oesophagogastroduodenoscopy; PPE, personal protective equipment.

Statement 4. Resource reallocation for staff and medical equipment is recommended to prepare for a surge in healthcare demand

The hospital system will undergo major stress due to a surge in the demand for the management of suspected and confirmed cases of COVID-19. As a result, endoscopy centres should rationalise and prioritise the allocation of resources, including endoscopists, nurses, healthcare providers and medical equipment.²⁰ All healthcare providers working at the endoscopy centre should receive appropriate education and training on infection control measures, including hand hygiene and use of personal protective equipment (PPE).^{21 22} The endoscopy centre should arrange designated gown up and gown down areas. The supply of medical equipment, especially PPE, will be limited during the pandemic.

Statement 5. Regular monitoring of supply and use of personal protective equipment (PPE) is necessary to adjust endoscopy service and uphold morale of staff

The use of medical supplies should be carefully planned. Administrators of the endoscopy centre should regularly communicate with the hospital administration about the supply and logistics of medical equipment and PPE. The stock and use of consumables, PPE and other equipment should be monitored daily to allow better planning of service and to avoid inadequate supply of PPE, which may jeopardise safety and morale of staff. Conservation of PPE is critical and only essential personnel should be present during procedures (table 2).

Statement 6. Healthcare workers should practise standard infection control for endoscopy

SARS-CoV-2 has been shown to be transmitted indirectly through contaminated surfaces and fomites, and survival of virus on surfaces can last for hours to days.²³ Similarly, SARS-CoV-2 survival has been reported in confined public spaces, including restrooms, elevators and door knobs.²⁴ All endoscopists, nurses and healthcare providers should receive training on infection control and appropriate use of PPE during endoscopy.^{21 22 25 26} Standard hand hygiene procedures before and after each case should be practised. Ethanol (62–71% concentration), 2% glutaraldehyde and 0.1–0.5% sodium hypochlorite are commonly used as disinfectants and can reduce the concentrations of coronavirus within 1 min of exposure time.²⁷ Effective hand hygiene decreases the risk of transmission and was proved to be beneficial during SARS.²⁸ Universal hand washing and the use of alcohol-based hand rubs on entering and leaving endoscopy rooms are highly recommended.

We recommend the use of PPE according to risk stratification (table 2). The definition of a suspected, probable and confirmed case is listed by WHO.² For patients defined as suspected, probable or confirmed COVID-19, enhanced PPE should be practised during endoscopy, including the use of a N95 mask; isolation gown with water resistance; head cover; eye protection and face shield.^{25 26 29} The procedure should be conducted in negative pressure room when available (see statement 9).

For patients who are FTOCC negative and not suspected to have COVID-19, healthcare providers should perform endoscopy with PPE, including a face mask; isolation gown with water resistance; eye protection. As endoscopy may be an aerosol-generating procedure, endoscopists should be allowed to select the most appropriate PPE for the procedure. Interactions with patients, including informed consent and checking vital signs,

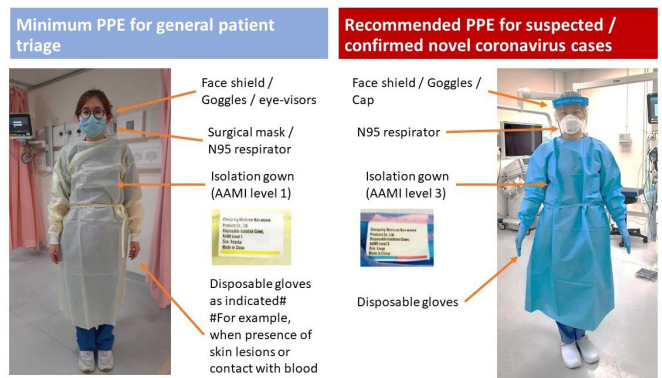


Figure 2 Recommended PPE for general patient triage and handling suspected COVID-19 cases. AAMI, Association for the Advancement of Medical Instrumentation; PPE, personal protective equipment. Image courtesy of the Hospital Authority of Hong Kong.

should be performed while maintaining some distance, and with rigorous hand washing before and after interactions.

Statement 7. Healthcare workers should receive adequate training on gowning and removal of PPE

Many health workers, including endoscopists, nurses, healthcare workers and anaesthetists, work in endoscopy suites, with different types of procedures being performed daily. There is a certain risk of exposing staff to respiratory, oropharyngeal and faecal secretions. Furthermore, aggressive suctioning and multiple catheter exchange via endoscope working channels may expose staffs to splashes of secretion.³⁰ Microorganisms have been detected on the walls of endoscopy suite and postoperative recovery areas.³¹ During the SARS epidemic, 21% of people affected were healthcare workers.³² The grouping of many patients and healthcare workers at endoscopy can put them at risk of human to human transmission. For patients with COVID-19 who require endoscopic procedures under general anaesthesia, anaesthesia should be conducted by a designated team while other members of the endoscopy team should stay outside the room. The anaesthetic procedure should be performed with all healthcare providers in full PPE.³³ Biopsy samples obtained from the infected person can also be a source of infection as active viral replication was identified during the SARS pandemic in 2003.⁸ Hence, all specimen from patients with COVID-19 should be handled with extra precaution and with appropriate protective equipment. Training should be provided for all staff for PPE gowning and donning. Figure 2 illustrates the typical PPE used in our unit in Hong Kong.

Statement 8. Extra precaution is recommended during colonoscopies as prolonged faecal shedding of SARS-CoV-2 can occur

Although the risk of faecal transmission for SARS-Cov-2 is not clear, it remains plausible as SARS-CoV-2 has been detected in the stool of patients with and without symptoms, and viral shedding occurs.^{9–12} It may also persist despite negative results in the respiratory tract. This can result in viral transmission via aerosolisation and faecal-oral route of contamination.³⁴ A recent study showed that there is prolonged presence of SARS-Cov-2 viral RNA in faecal samples for up to 47 days after onset of the first symptoms.³⁵ As endoscopes are often affected by gut flora, this could pose a risk to endoscopists, nursing staff and other endoscopy staff and could also be a vector for potential

transmission to other patients.^{36 37} Therefore, it is important for staff performing colonoscopies to be aware of this potential risk, and colonoscopy should be considered a high-risk procedure and careful decontamination procedures vigilantly performed (see statement 10).

Statement 9. Endoscopies should be performed in a negative pressure room when available with strict isolation precautions in suspected or confirmed cases of COVID-19

For suspected, probable and confirmed COVID-19 cases, ideally we recommend performance of endoscopy in a negative pressure room. As most endoscopy units may not have a negative pressure room, the procedure can be performed in a venue outside the endoscopy centre, with negative pressure in the operation theatre, for example, or in a room with better ventilation. In the endoscopy centre, it is important to keep all patients at an appropriate distance from each other (two metres is recommended) while considering each facility's situation and capacity. Care givers or relatives of patients are strictly prohibited from entering the endoscopy department except in special circumstances in which patients require specific assistance.

Statement 10. Disinfection policy for endoscopy rooms and reprocessing of instruments should be enhanced

The standard of disinfection and reprocessing of endoscopy instruments should be practised according to American Society for Gastrointestinal Endoscopy and European Society of Gastrointestinal Endoscopy guidelines.^{25 26} Each endoscopy department should have a detailed plan for cases of non-COVID-19 and suspected or confirmed COVID-19. Standard room disinfection should be conducted at the end of the session in rooms where non-COVID or low-risk patients had endoscopy. For patients with suspected or confirmed COVID-19 who require emergency endoscopy, the endoscopic procedure should be performed at the end of the session and the room should be cleaned after these procedures with staff using appropriate PPE. The disinfection and reprocessing of the endoscope and instruments used for a patient with COVID-19 will be similar to those used in standard practice.^{25 26} All disposable devices used for a patient with COVID-19 should not be re-used. If treatment of patients with COVID-19 is performed in negative pressure rooms, a delay of 30 min is recommended before a new patient enters the room. Although no cases of endoscope-related COVID-19 transmission have been reported, this risk exists, especially in view of previous experience with hepatitis B and C viruses. It is likely that current endoscope disinfection techniques are sufficient.

Statement 11. Stepwise resumption of elective endoscopy services should be guided by control of COVID-19 in the local community, availability of manpower and equipment supply

With steady increase in the number of confirmed cases of COVID-19 among different countries and cities worldwide, it is difficult to determine the most appropriate time to resume regular service at endoscopy centres. The Imperial College COVID-19 response team predicted that suppression strategies will need adaptive triggering once rebound occurs.¹⁷ It is likely that most countries will adopt a time-bound approach in the resumption of partial or full service depending on the following factors: (1) the number and epidemic curve of confirmed COVID-19 cases; (2) the availability of medical equipment, including appropriate PPE; and (3) the accumulated volume of postponed endoscopy cases. Some non-urgent procedures are of higher priority for resumption and may need to be performed,

Table 3 Provision of endoscopy service during COVID-19 pandemic

COVID-19 in the community	PPE supply	Endoscopy service
Exponential increase in new cases of COVID-19	Critical (reserve <7 days)	<ul style="list-style-type: none"> ▶ Urgent endoscopy only ▶ Semi-urgent endoscopy – withhold ▶ Elective endoscopy – withhold
Rapid increase in new cases of COVID-19	Very low (reserve <4 weeks)	<ul style="list-style-type: none"> ▶ Urgent endoscopy only ▶ Semi-urgent endoscopy – to be individualised ▶ Elective endoscopy – withhold
Down trend in new cases of COVID-19	Suboptimal (reserve 4–8 weeks)	<ul style="list-style-type: none"> ▶ Urgent endoscopy – full capacity ▶ Semi-urgent endoscopy – full capacity ▶ Elective endoscopy – resumed with 50% capacity
No new cases of COVID-19 diagnosed for at least 2 weeks	Normal (12 weeks reserve)	<ul style="list-style-type: none"> ▶ Urgent endoscopy – full capacity ▶ Semi-urgent endoscopy – full capacity ▶ Elective endoscopy – full capacity

PPE, personal protective equipment.

such as cancer evaluations and evaluation of significant symptoms. We recommend that endoscopy centres resume an elective endoscopy service in a stepwise manner in phases (table 3). For example, in the initial phase the daily volume of endoscopic procedures should be 50% of the normal capacity. The situation may also change with a sudden increase in COVID-19 cases, limitation of PPE and other medical supplies, and fatigue or limitation of healthcare providers. The administrators of endoscopy centres should have close and regular communication with the hospital management team and closely monitor the outbreak for any rapid change in plan to keep a sustainable and effective endoscopy service.

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